



PTO/SB/21 (08-03)

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TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Application Number	10/616,380
Filing Date	July 2, 2003
First Named Inventor	G. F. Hutter
Art Unit	1713
Examiner Name	
Total Number of Pages in This Submission	16
Attorney Docket Number	SCD 02-03

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	PTO 1449 and copies of references
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Certificate of Mailing
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	One Return Postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Daniel B. Reece IV	Registration No. 33,998
Signature		
Date	December 5, 2003	

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Daniel B. Reece IV		
Signature		Date	December 5, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: G. Frederick Hutter

Serial No.: 10/616,380 Group Art Unit: 1713

Filed: July 9, 2003

For: **Carboxyester-Modified Vinylic Polymeric Compositions**

Examiner:

Customer Number: 36876

Honorable Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Under the provisions of 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant submits herewith copies of publication that the Office may wish to consider in examination of the subject application.

<u>U.S. PATENT NO.</u>	<u>PATENTEE</u>	<u>ISSUE DATE</u>
6,503,971	Shah et al.	01/07/03

OTHER DOCUMENTS

Japanese Publication No. JP 2000 159867, (Nobuhito, T et al.), Resin for Printing Ink. Publication date June 13, 2000.

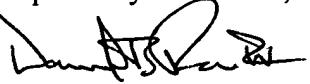
Copies of these references are submitted herewith along with form PTO-1449.

These references are cited as being representative of the state of the art in this area.

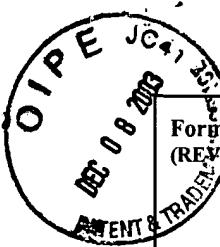
This citation does not constitute an admission that the references are relevant or material to the claims; they are cited only as constituting the closest art of which the applicant is aware.

Respectfully Submitted,

Attachments

  
Daniel B. Reece IV  
Attorney for the Applicants  
Registration No. 33,998

Dated: December 5, 2003  
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Form PTO-1449 (REV. 8-83)		U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE							Atty. Docket No. SCD 02-03	SERIAL NO.. 10/616,380					
									APPLICANT G. F. Hutter						
									FILING DATE 07/09/03	GROUP 1713					
INFORMATION DISCLOSURE CITATION															
(Use several sheets if necessary)															
U. S. PATENT DOCUMENTS															
*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		6	5	0	3	9	7	1	01/03	Shah et al.					
U. S. PENDING APPLICATIONS															
		DOCUMENT NUMBER							DATE	TITLE		INVENTORS			
FOREIGN PATENT DOCUMENTS															
		DOCUMENT NUMBER							DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION	
														YES	NO
JP	0	0	1	5	9	8	6	7	06/00	Japan					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)															
EXAMINER									DATE CONSIDERED						
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication with applicant.</p>															